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Case Nos. SG10-0686, SG10-0526, SG10-0710 SG10-0790, SG10-0911, SG11-0261, SG11-0381, SG11-0509, SG11-0530,

ATE BAR OF NEVADAY PULLA

STATE BAR OF NEVADÃ

SOUTHERN NEVADA DISCIPLINARY BOARD

| OTATE BAIL OF NEVADA, |) |
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| Complainant, |) |
| vs. | PUBLIC REPRIMAND |
| JOHN C. FERNANDEZ, ESQ., BAR No. 8451, |))) |
| Respondent. |) |

TO: JOHN C. FERNANDEZ, ESQ.
Bar No. 8451
6250 Mountain Vista St., Suite L2
Henderson, NV 89014

STATE BAD OF NEVADA

- 1. On or about December 15, 2010, in response to grievances pending against you, State Bar Investigator Theresa Freeman ("Freeman") attempted to contact you by telephone, but your SCR 79 (Disclosures by members of the bar) phone number was disconnected, and the voice mailbox of your cell phone was full.
- 2. Freeman also sent an email to the email address listed for you in the State Bar's records. When no response was received, an attempt was made to have you personally served with a subpoena, but that attempt was unsuccessful.
- 3. On or about March 9, 2011, after successful contact was made, you met with Bar Counsel David Clark and reported that in or about September 2010 you had been diagnosed with impetigo. However, the diagnosis of impetigo was incorrect; physicians subsequently diagnosed you as suffering from erythrodermic psoriasis and psoriatic arthritis. The erythrodermic psoriasis had affected most of your body surface; the psoriatic arthritis

had affected your joints. Both the erythrodermic psoriasis and psoriatic arthritis caused you to suffer severe pain in your skin and joints.

- 4. You acknowledged that as a result of your illnesses, you had been unable to attend to your clients' needs, and your solo practice had fallen apart. However, you were now receiving treatment and felt better, and sought the State Bar's guidance on how to resolve any outstanding issues with your clients.
 - 5. Bar Counsel advised you to:
 - a. Inventory your files;
 - Contact all your clients, let them know your status, and ask them if they wished to remain with you as their attorney or find other counsel;
 - c. Give files and/or refunds to those clients that wished to find new counsel;
 - d. Respond to any pending grievances with the State Bar by March 30,2011.

SG10-0686/Marilyn Dennis

- 6. Marilyn Dennis ("Dennis") retained you to represent her in a civil matter pertaining to her home.
- 7. On or about October 4, 2010, Dennis grieved to the State Bar that she had not been able to reach you by email, text message, or phone, and mediation in her matter was scheduled for Friday October 8, 2010.
- 8. On October 11, 2010, Dennis further grieved to the State Bar that you had failed to appear for the mediation on October 8, 2010.
- 9. On October 12, 2010, the State Bar sent a letter to your SCR 79 address, via certified and regular mail, asking you to respond to this grievance. The certified letter receipt was signed for by an individual with the initials "M.O." on October 14, 2010, and returned to

the State Bar. However, both letters were eventually returned by the Post Office with the indication "attempted not known."

- 10. On November 19, 2010, the State Bar sent you a second letter, via certified and regular mail, to your SCR 79 address. The certified letter receipt was signed for by an individual with the initials "M.O." on November 22, 2010, and returned to the State Bar. However, both letters were eventually returned by the Post Office with the indication "attempted not known."
- 11. In your response to the State Bar following the meeting with Bar Counsel, received on March 30, 2011, you claimed that on September 30, 2010, you had reported to the Emergency Room at University Medical Center and were suspected of having a serious virus. As such, you faxed a letter to the mediator, Benjamin Childs, requesting that the mediation be vacated until further notice. However, the mediation was conducted *in absentia*.
- 12. Dennis retained new counsel, but you failed to refund any fees paid to you by Dennis.

SG10-0526/Elizabeth Pesco

- 13. In or about March 2005, Elizabeth Pesco ("Pesco") retained you to represent her as the Personal Representative of her sister Elaine Lentino's ("Lentino") estate, and to represent the estate in a civil matter regarding Lentino's son, Richard. Lentino, her daughter, and her grandson were killed by Richard, so Pesco was trying to remove him as heir to Lentino's estate.
- 14. On September 27, 2010, Pesco grieved to the State Bar that she had begun to experience trouble contacting you in the summer of 2009. You did contact her in September 2009, and explained that you had been unwell, but now she had not heard from you since July 2010 despite numerous attempts to contact you.

- 15. The court in the civil matter required that Richard be represented by counsel. You suggested they serve Richard's father, who was an attorney in New York, and ask him to be the guardian of Richard's estate. Pesco gave you an additional \$500 to pay for the father to be served in New York.
- 16. In or about July 2010, after the father was unable to be served by process service, you were to publish service in the local New York paper where the father resided, put Pesco did not hear further from you.
- 17. On October 25, 2010, the State Bar sent a letter of investigation to you at his SCR 79 address, asking you to respond to this grievance, with no response.
- 18. In your response to the State Bar following the meeting with Bar Counsel, received on March 30, 2011, you stated that you were still attempting to obtain written verification that service was made on the father in New York, and once you had that documentation you would be able to proceed with litigation.
- 19. Pesco retained new counsel who filed the Complaint in the civil case against Richard on April 12, 2011.

SG10-0710/Ronald and Kimberly Carbone

- 20. On or about November 7, 2007, Ronald and Kimberly Carbone ("Carbones") retained you to represent their interests in the matter of the Trust of Ronald Ralph Carbone.
- 21. In or about May 2009, you received a check for \$39,448 from the Trust representing funds from the sale of a residence in the Trust. You advised the Carbones that you planned to hold the check until the final accounting was filed by the Trustee, thereby insuring no improprieties had occurred with the Trust. You advised the Carbones that if you were to negotiate the check it would indicate that you were satisfied that the Trust was handled properly.

- 22. On October 18, 2010, the Carbones grieved to the State Bar that you had always been slow to respond to phone calls, but they had been unable to reach you or your law clerk at all since July 2010, and you were in receipt of funds belonging to them that had been distributed by the Trust.
- 23. On October 21, 2010, the State Bar sent you a letter, via certified and regular mail, to your SCR 79 address. The certified letter receipt was signed for by an individual with the initials "H.R." on October 22, 2010, and returned to the State Bar. However, both letters were eventually returned by the Post Office with the indication "attempted not known."
- 24. In your response to the State Bar following the meeting with Bar Counsel, received on March 30, 2011, you stated that the delay in the case was caused by the Trustee and his attorney not providing you with a requested accounting and supporting documentation, but you had received the documentation now and could move forward.
- 25. On March 30, 2011, you sent a cashier's check to the Carbones dated April 30, 2009, for \$39,448.
- 26. On April 6, 2012, State Bar Investigator Louise Watson ("Watson") spoke with Ronald Carbone and confirmed that he had received the funds from the Trust and that all concerns he had regarding your representation were now resolved.

SG10-0790/Roger Carroll

- 27. On or about August 12, 2009, Roger Carroll ("Carroll") retained you to handle a probate estate matter that involved transferring the title of property owned by the deceased.
- 28. On November 2, 2010, Carroll grieved to the State Bar that he had not been able to contact you since he received a letter from you dated July 28, 2010, and did not know the status of his case.
- 29. On November 17, 2010, the State Bar sent a letter to your SCR 79 address asking you to respond to this grievance, but it was returned by the Post Office.

- 30. In your response to the State Bar following the meeting with Bar Counsel, dated March 30, 2011, you stated that you would be recording the executed Quitclaim Deed with the Office of Recorder for Clark County on March 31, 2011, and when you received a copy of the conformed Quitclaim Deed, you would send a new Quitclaim Deed to the client to be executed, which would fulfill your obligation to your client.
- 31. On July 25, 2011, Freeman contacted you for an update and was advised that Carroll remained your client, and you were currently working on getting the new Quitclaim Deed recorded.
- 32. On April 13, 2012, the State Bar sent an additional letter to you, via regular and certified mail, asking for another update on this matter. You signed for the certified letter on April 23, 2012, but failed to respond further to the State Bar.
- 33. Watson reviewed the records on the website for the Clark County Assessor's Office and found that a new Quitclaim Deed in Carroll's matter was recorded on November 29, 2011, but it was prepared and signed by Elizabeth MacDowell of the Thomas and Mack Legal Clinic at the University of Nevada Las Vegas.

SG10-0911/Deserve Palafox

- 34. Deseree Palafox ("Palafox") retained you to represent her in mediation on a property in Henderson.
- 35. On November 18, 2010, Palafox grieved to the State Bar that she had been unable to contact you, could not afford a new attorney, and was concerned because she had provided you original documents for your file.
- 36. On December 10, 2010, the State Bar sent a letter to your SCR 79 address asking you to respond to this grievance, but it was returned by the Post Office.
- 37. In your response to the State Bar following the meeting with Bar Counsel, dated March 30, 2011, you stated that you were retained to assist with litigation on Palafox's

property, not mediation, and had met with your client to review her options, and execute her complaint. Now that you were feeling better, you were going to attempt to obtain proof of service in the matter and proceed with the litigation.

- 38. Your letter was sent to Palafox who responded that she no longer wanted you to represent her in the matter.
- 39. On April 13, 2012, the State Bar sent an additional letter to you, via regular and certified mail, asking for another update on this matter. You signed for the certified letter on April 23, 2012, but failed to further respond to the State Bar.
- 40. Watson reviewed the Eighth Judicial District Court's website, but could not find the case that you allegedly filed on behalf of Palafox.

SG11-0261/Ronald and Rita Roseboom

- 41. In or about May 2010, Ronald and Rita Roseboom ("Rosebooms") retained you to assist them with a loan modification. The Rosebooms wanted to modify their adjustable rate mortgage to a fixed rate mortgage.
- 42. The Rosebooms paid you a retainer fee of \$1,388 and a filing fee of \$330 for a total of \$1,718.
- 43. On February 16, 2011, the Rosebooms grieved to the State Bar that although you had advised them it would be three (3) to six (6) months before they heard anything, they had not heard further from you despite several attempts to contact you, and you were no longer at the address at which they originally retained you.
- 44. On or about March 9, 2011, you met with Bar Counsel David Clark and were provided a copy of the Roseboom's grievance. You reported that in or about September 2010, you had been diagnosed with impetigo. However, the diagnosis of impetigo was incorrect; physicians subsequently diagnosed you as suffering from erythrodermic psoriasis and psoriatic arthritis. The erythrodermic psoriasis had affected most of your body surface;

the psoriatic arthritis had affected your joints. Both the erythrodermic psoriasis and psoriatic arthritis caused you to suffer severe pain in your skin and joints.

- 45. In your response to the State Bar following the meeting with Bar Counsel, dated March 30, 2011, you stated that you were retained to assist with litigation on the Roseboom's property, had met with your clients to review their options, and had reviewed and executed their complaint. Now that you were feeling better, you were going to attempt to obtain proof of service in the matter and proceed with litigation.
- 46. Your letter was sent to the Rosebooms. They were frustrated with you, but could not afford to hire a new attorney.
- 47. On July 25, 2011, Freeman contacted you for an update on this matter and was advised that you were waiting for an affidavit of service before you could proceed.
- 48. On April 12, 2012, Watson spoke with Ronald Roseboom, who indicated that he had heard nothing from you since receiving a copy of your response to the State Bar in March 2011 despite multiple attempts to contact you, and they had eventually retained new counsel who was able to save their house.
- 49. On April 13, 2012, the State Bar sent an additional letter to you, via regular and certified mail, asking for another update on this matter. You signed for the certified letter on April 23, 2012, but failed to further respond to the State Bar.
- 50. Watson reviewed the Eighth Judicial District Court's website, but could not find the case that you allegedly filed on behalf of the Rosebooms.

SG11-0381/Tom Black

- 51. Tom Black ("Black") retained you in November 2009 to open a probate case and handle other issues dealing with the death of his father, Ernest P. Black.
- 52. You accepted payment of \$7,750 from Black and \$1,750 from Black's sister as a retainer in this matter.

- 53. On March 17, 2011, Black grieved to the State Bar that you were not responding to his requests for information about his case, or to his requests for you to provide him with receipts for his retainer payments and an accounting of the retainer.
- 54. On March 24, 2011, the State Bar sent you a letter to his SCR 79 address asking that you contact your client and notify the State Bar when the problem was resolved.
- 55. On April 19, 2011, Black further grieved to the State Bar that you had still not responded to his concerns.
- 56. On May 9, 2011, the State Bar opened the instant file and sent a letter to your SCR 79 address asking you to respond to Black's grievance.
- 57. In your response to the State Bar, dated September 19, 2011, you confirmed that you were retained by Black, and had conducted legal research, filed and served various pleadings and made court appearances in the matter.
- 58. You stated that during a teleconference with Black, you learned that although Ernest P. Black had properly funded several pieces of real estate into one of his living trusts, the living trust into which the real estate in question was funded was rendered invalid by judicial intervention. The subject real estate had to be added to the Inventory, Appraisement and Record of Value for the Estate, but you had been unable to complete this task due to your illness.
- 59. You stated that as of August 31, 2011, Black remained your client, and you were trying to meet with opposing counsel regarding the assets that had been discovered but not properly funded into the Trust, as well as deal with the probate court, and you would update the State Bar regarding your progress as soon as possible.
- 60. On April 13, 2012, the State Bar sent an additional letter to you, via regular and certified mail, asking for an update on this matter. You signed for the certified letter on April 23, 2012, but failed to further respond to the State Bar.

- 61. On April 13, 2012, Watson spoke with Black who stated that he had resolved the issues with the Trust with the assistance of another attorney. Black, however, did not know the status of the probate, nor had he heard further from you.
- 62. On June 5, 2012, Watson reviewed the records for Ernest P. Black's probate matter on the Eighth Judicial Court's website. The matter remained open, and there had been no action sing the Notice of Lodging Will in May 2010.

SG11-0509/Wells Fargo Bank

- 63. On or about April 25, 2011, the State Bar received notification from Wells Fargo Bank that your trust account had become overdrawn on April 4, 2011, when a check for \$975 was submitted for payment. The bank returned the check and charged an overdraft fee of \$35.
- 64. On April 27, 2011, the State Bar sent you a letter requesting that you explain the reason for the overdraft with sufficient documentation to substantiate your explanation.
- 65. In your response to the State Bar, received on June 1, 2011, you stated that you had paid the overdraft fee, and you provided documentation that the balance of your account on April 15, 2011, was \$980.66.
- 66. On April 13, 2012, the State Bar sent you an additional letter, via regular and certified mail, asking you to provide further information regarding the overdraft, and to identify to whom the \$975 check was issued to and for what purpose. You signed for the certified letter on April 23, 2012, but failed to further respond to the State Bar.

SG11-0530/Rebecca Garcia

- 67. On or about June 9, 2010, Rebecca Garcia ("Garcia") retained you for \$2,000 to assist her in obtaining a loan modification from Bank of America.
- 68. On April 26, 2011, Garcia grieved to the State Bar that you had stopped communicating with her, and had done nothing toward her loan modification.

- 69. On May 25, 2011, the State Bar sent a letter to your SCR 79 address, via certified and regular mail, asking you to respond to this grievance. The certified letter receipt was signed for by you and returned to the State Bar on May 31, 2011.
- 70. On July 14, 2011, the State Bar sent you a second letter, via certified and regular mail, to your SCR 79 address. The certified letter receipt was signed for by you and returned to the State Bar on July 18, 2011.
- 71. On August 31, 2011, Freeman spoke with you and was advised that Garcia remained your client, and Garcia was waiting for a Notice to Appear, after which time you could move forward.
- 72. On or about September 13, 2011, you notified the State Bar that you had still not received the Notice to Appear, but Garcia had received a letter from the Foreclosure Mediation Program Administrator, so the case was moving forward as expected.
- 73. On April 13, 2012, Watson spoke with Garcia who stated that her concerns regarding her matter were resolved once you returned to work following your illness.

DISCIPLINE IMPOSED

- 74. Based upon the foregoing, your are hereby **PUBLICLY REPRIMANDED** as follows:
- 75. In the matter of SG10-0686/Marilyn Dennis you violated RPC 1.3 (Diligence), RPC 1.4 (Communication), RPC 1.16 (Declining or Terminating Representation), RPC 8.1 (Bar Admission and Disciplinary Matters), and RPC 8.4 (Misconduct).
- 76. In the matter of SG10-0526/Elizabeth Pesco you violated RPC 1.3 (Diligence), RPC 1.14 (Communication), RPC 1.16 (Declining or Terminating Representation), RPC 3.2 (Expediting Litigation), and RPC 8.4 (Misconduct).

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- 77. In the matter of SG10-0710/Ronald and Kimberly Carbone you violated RPC 1.3 (Diligence), RPC 1.4 (Communication), RPC 1.15 (Safekeeping Property), and RPC 8.4 (Misconduct).
- 78. In the matter of SG10-0790/Roger Carroll you violated RPC 1.1 (Competence), RPC 1.3 (Diligence), RPC 1.4 (Communication), RPC 8.1 (Bar Admission and Disciplinary Matters), and RPC 8.4 (Misconduct).
- 79. In the matter of SG10-0911/Deseree Palafox you violated RPC 1.3 (Diligence), RPC 1.4 (Communication), RPC 3.2 (Expediting Litigation), RPC 8.1 (Bar Admission and Disciplinary Matters) and RPC 8.4 (Misconduct).
- 80. In the matter of SG11-0261/Ronald and Rita Roseboom you violated RPC 1.3 (Diligence), RPC 1.4 (Communication), RPC 3.2 (Expediting Litigation), RPC 8.1 (Bar Admission and Disciplinary Matters), and RPC 8.4 (Misconduct).
- 81. In the matter of SG11-0381/Tom Black you violated RPC 1.1 (Competence), RPC 1.3 (Diligence), RPC 1.4 (Communication), RPC 1.5 (Fees), RPC 1.15 (Safekeeping Property), RPC 3.2 (Expediting Litigation), RPC 8.1 (Bar Admission and Disciplinary Matters), and RPC 8.4 (Misconduct).
- 82. In the matter of SG11-0509/Wells Fargo Bank you violated RPC 1.15 (Safekeeping Property), RPC 8.1 (Bar Admission and Disciplinary Matters), and RPC 8.4 (Misconduct).
- 83. In the matter of SG11-0530/Rebecca Garcia you violated RPC 1.3 (Diligence), RPC 1.4 (Communication) and RPC 8.1 (Bar Admission and Disciplinary Matters).
 - 84. In addition to the Public Reprimand, the following conditions shall be imposed:
 - a. Respondent shall pay restitution within one (1) year as follows:

Marilyn Dennis \$1,200

The Rosebooms \$1,718

| 1 | Deseree Palafox \$1,350 | |
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| 2 | Client Security Fund \$2,500 | |
| 3 | b. Respondent shall pay the actual costs of the disciplinary proceeding | 1_ |
| 4 | excluding Bar Counsel and staff salaries, within thirty (30) days of receipt of a billing from the | |
| 5 | State Bar. | |
| 6 | Dated this 26th day of June, 2014. | |
| 7 | Dated this <u>CS.</u> day of dance, 2014. | |
| 8 | Paul "Luke" Puschnig, Esq. | |
| 9 | Eormal Hearing Panel Chair | |
| 10 | Southern Nevada Disciplinary Board | |
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